

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

- - - - - x  
UNITED STATES OF AMERICA :  
- v. - :  
GREGORY RORKE, :  
Defendant. :  
- - - - - x

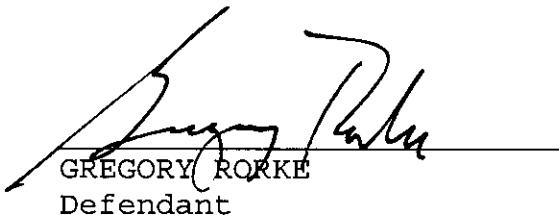
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WAIVER OF INDICTMENT  
BY GRAND JURY

15 Cr CRIM 011

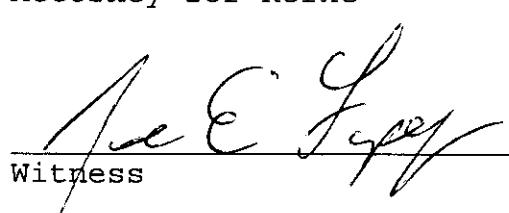
Defendant Gregory Rorke, who is accused of violating Title 15, United States Code, Sections 78j(b) and 78ff, Title 17, Code of Federal Regulations, Section 240.10b-5, and Title 18, United States Code, Sections 1343 and 2, being advised of the nature of the charges and of his rights, hereby waives, in open Court, prosecution by indictment and consents that the proceeding may be by information instead of by indictment.

Dated: New York, New York  
January 8, 2015

  
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GREGORY RORKE  
Defendant

  
\_\_\_\_\_  
MICHAEL TREMONTE, ESQ.  
Attorney for Rorke

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\_\_\_\_\_  
Witness